



ASSET MANAGEMENT, LLC

ITEM 1: COVER PAGE

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Paul Joseph Phillips, Jr.

Synergy Asset Management, LLC

13231 SE 136th St, Suite 215

Bellevue, WA 98006

(206) 386-5455

www.synergy-am.com

2024

This brochure supplement provides information about Paul (“Joe”) Phillips that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us via phone (206) 386-5455 or email info@synergy-am.com if you did not receive Synergy’s brochure or if you have any questions about the content of this supplement.

Additional information about Joe is available on the SEC’s website at <http://www.adviserinfo.sec.gov>.

ITEM 2 EDUCATIONAL BACKGROUND AND EXPERIENCE

Paul J Phillips

Year of Birth: 1963

Education:

- Bachelor of Arts – University of Arizona - Psychology - 1986
- Bachelor of Science – University of Arizona - Finance - 1988

Business Experience:

- 2022 to Present: Registered Investment Advisor, Synergy Asset Management, LLC
- 2022 to Present: Co-Managing Director, Center for Family Wealth
- 2009 to 2021: Branch Manager, TD Ameritrade
- 2003 to 2009: Owner, Second Look Financial, LLC
- 1993 to 1999: Manager, Charles Schwab

Industry License & Designations:

- Series 7, 24, 65, Certified Arbitrator (FINRA) – 1998

Item 3 Disciplinary Information

Synergy Asset Management, LLC is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client's evaluation of Joe. No events have occurred that are applicable to this item.

Item 4 Other Business Activities

Synergy Asset Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients.

Joe is a 50% owner of Centers for Family Wealth, LLC which may charge separate fees for financial services to clients of Synergy Asset Management LLC. Joe also owns two commercial real estate buildings and is 1% owner of a Family Limited Partnership. This does not create a conflict of interest with clients of Synergy Asset Management, LLC.

Item 5 Additional Compensation

Synergy Asset Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients.

In addition to compensation paid through Synergy Asset Management, LLC, Joe also may receive fees related to a variety of financial services through his business Centers for Family Wealth, LLC. This does not create a conflict of interest with clients of Synergy Asset Management, LLC.

Item 6 Supervision

Todd Jessup, Chief Compliance Officer, is responsible for all supervision and monitoring of investment advice and insurance recommendations Joe gives to clients. He can be reached at (206) 386-5455. While the underlying securities within accounts are continually monitored, Todd reviews these accounts at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives and guidelines.