



## Cody Crawford

Disclosure Brochure Supplement  
Part 2B, Form ADV

## SPG Advisors LLC

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This brochure supplement provides information about Cody Crawford and supplements the SPG Advisors LLC's brochure. You should have received a copy of that brochure. Please contact Cody Crawford if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Cody Crawford (CRD# 7169728) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov)

**Item 2 - Educational Background and Business Experience**

Cody A. Crawford  
Year of birth: 1985

**Educational Background:**

- University of Houston, Conrad Hilton School of Hospitality; Bachelor of Science in Hospitality Management; 05/2009

**Business Experience:**

- SPG Advisors LLC; Investment Advisor Representative; 04/2019 – Present
- Sound Planning Group, Inc; Insurance Agent; 04/2019 – Present
- Dynamic Income Strategies LLC; Insurance Agent; 03/2015 – 04/2019
- SageGuard Financial Group, LLC; Investment Advisor Representative; 10/2018 – 04/2019
- First Advisors National, LLC; Investment Advisor Representative; 06/2016 – 11/2018
- Founders Group, Inc; Insurance Agent; 08/2014 – 09/2018

**Professional Designations:**

- Certified National Social Security Advisor (NSSA®)<sup>1</sup>
- Retirement Income Certified Professional (RICP)<sup>2</sup>
- Registered Investment Advisor Representative (IAR)

**Item 3 - Disciplinary Information**

Criminal or Civil Action: None to report  
Administrative Proceeding: None to report  
Self-Regulatory Proceeding: None to report

**Item 4 - Other Business Activities**

Cody Crawford is an insurance agent (License #1045155) with Sound Planning Group, Inc and spends approximately 50% of his time in this capacity. From time to time, he will offer clients products and/or services from these activities.

This practice presents a conflict of interest because it gives an incentive to recommend products and services based on the commission received. This conflict is mitigated by disclosures, procedures, and the firm's fiduciary obligation to place the best interest of the client first. The clients are informed that the advisor

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<sup>1</sup> Certificate was awarded by The National Social Security Association. They require the completion of an eight hour educational course from an authorized educational provider encompassing social security benefits and options. Pass an exam administered by The National Underwriter Company.

<sup>2</sup> The RICP designation is issued by the American College of Financial Services. They require 3 years of experience in financial planning or a related profession, three required courses, and an agreement with the Code of Ethics. Additionally, the designee is required to complete 30 hours of education every two years. A RICP holder has the knowledge of best practices ranging from topics such as Social Security, risks in retirement financial planning, Medicare and other health insurance options, long-term care needs, as well as tax and estate planning. The professional can help their clients maintain their customary standard of living throughout retirement, address income gaps, create an estate plan, and limit risk.

representative receives a commission on the sale of an insurance product that is paid directly by the insurance company and not from the client's insurance assets. The clients are not required to purchase any products or services. Clients have the option to purchase these products or services through the insurance agent or agency of their choosing, including those unaffiliated with SPG Advisors LLC and Sound Planning Group, Inc.

**Item 5 - Additional Compensation**

Mr. Crawford receives additional compensation in his capacity as an insurance agent but he does not receive any performance-based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

**Item 6 - Supervision**

Mr. Crawford is supervised by Justin Lohman, Chief Compliance Officer. Mr. Lohman reviews Mr. Crawford's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Justin Lohman can be reached by telephone at 425-821-9442 or by email at [justin@spgadvisors.com](mailto:justin@spgadvisors.com).