



**ITEM 1: COVER PAGE**

**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**Kevin J. Williams**

**Synergy Asset Management, LLC  
13215 SE Mill Plain Blvd  
Suite C8-321  
Vancouver, WA 98684  
(206) 386-5455  
[www.synergy-am.com](http://www.synergy-am.com)**

**July 2024**

This brochure supplement provides information about Kevin Williams that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us via phone (206) 386-5455 or email [info@synergy-am.com](mailto:info@synergy-am.com) if you did not receive Synergy's brochure or if you have any questions about the content of this supplement.

Additional information about Kevin is available on the SEC's website at <http://www.adviserinfo.sec.gov>.

## **ITEM 2 EDUCATIONAL BACKGROUND AND EXPERIENCE**

Kevin J. Williams, Senior Wealth Advisor and Relationship Manager

Year of Birth: 1966

Education:

- BA English/Minor Physics from Eastern Washington University

Business Experience:

- 2018 to current Synergy Asset Management, LLC
- 2018 to 2020 Gennaker Capital, LLC
- 04/2015 to 06/2018 Goldbloom Wealth Management, Bellevue, WA
- 01/2013 to 03/2015 Elite Wealth Management, Kirkland, WA
- 01/2008 to 01/2013 TD Ameritrade, Bellevue, WA

## **Item 3 Disciplinary Information**

Synergy Asset Management, LLC is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client's evaluation of Kevin Williams. No events have occurred that are applicable to this item.

## **Item 4 Other Business Activities**

Synergy Asset Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients. Because Kevin receives commissions related to the sale of certain insurance products, this can create a conflict whereby a client will purchase insurance products through Synergy Financial Services. While Kevin will recommend such insurance products, a client is not obligated to purchase the recommended product through Kevin or Synergy.

Kevin also works with Synergy Mergers + Acquisitions, LLC as a real estate broker and may receive compensation for performing this service.

## **Item 5 Additional Compensation**

In addition to compensation related to sales, client referrals and new accounts, Kevin may receive commissions and/or trail commissions related his activities as an insurance agent.

## **Item 6 Supervision**

Todd Jessup, Chief Compliance Officer, is responsible for all supervision and monitoring of investment advice and insurance recommendations Kevin gives to clients. He can be reached at (206) 386-5455. While the underlying securities within accounts are continually monitored, Todd reviews these accounts at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives and guidelines.