



ITEM 1: COVER PAGE

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Francis (Frank) J. Roman

**Synergy Asset Management, LLC
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Bellevue, WA 98006
(206) 386-5455
www.synergy-am.com**

2024

This brochure supplement provides information about Francis (Frank) Roman that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us via phone (206) 386-5455 or email info@synergy-am.com if you did not receive Synergy's brochure or if you have any questions about the content of this supplement.

Additional information about Frank is available on the SEC's website at <http://www.adviserinfo.sec.gov>.

ITEM 2 EDUCATIONAL BACKGROUND AND EXPERIENCE

Francis J. Roman, Financial Planner

Year of Birth: 1991

Education:

- Bachelor of Science, Mechanical Engineering, University of Idaho 2013

Business Experience:

- 2017 to current Synergy Financial Services/Asset Management, LLC
- 2015 to 2017 Tooling Design Engineer, Boeing Commercial Airplanes
- 2014 to 2015 Fracture Engineer, Oasis Petroleum
- 2012 to 2014 Mechanical Engineer, Cascade Aircraft Conversions
- 2010 to 2012 Nabors Industries
- 2009 to 2010 Kadrmass Lee & Jackson

Item 3 Disciplinary Information

Synergy Asset Management, LLC is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client's evaluation of Frank Roman. No events have occurred that are applicable to this item.

Item 4 Other Business Activities

Synergy Asset Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients. Because Frank receives commissions related to the sale of certain insurance products, this can create a conflict whereby a client will purchase insurance products through KBR Financial LLC. While Frank will recommend such insurance products, a client is not obligated to purchase the recommended product through Frank or KBR Financial LLC.

Frank also works with Synergy Mergers + Acquisitions, LLC as a real estate broker and may receive compensation for performing this service.

Item 5 Additional Compensation

In addition to compensation related to sales, client referrals and new accounts, Frank may receive commissions and/or trail commissions related his activities as an insurance agent and real estate dealings.

Item 6 Supervision

Todd Jessup, Chief Compliance Officer, is responsible for all supervision and monitoring of investment advice and insurance recommendations Frank gives to clients. He can be reached at (206) 386-5455. While the underlying securities within accounts are continually monitored, Todd reviews these accounts at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives and guidelines.