

# synergy™

## ASSET MANAGEMENT, LLC

**ITEM 1: COVER PAGE**

**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**Alex Kopel**

**Synergy Asset Management, LLC**

**13231 SE 136<sup>th</sup> St, Suite 215**

**Bellevue, WA 98006**

**(206) 386-5455**

**[www.synergy-am.com](http://www.synergy-am.com)**

**2024**

This brochure supplement provides information about Alex Kopel that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us via phone (206) 386-5455 or email [info@synergy-am.com](mailto:info@synergy-am.com) if you did not receive Synergy's brochure or if you have any questions about the content of this supplement.

Additional information about Alex is available on the SEC's website at <http://www.adviserinfo.sec.gov>.

## **ITEM 2 EDUCATIONAL BACKGROUND AND EXPERIENCE**

Alex Kopel

Year of Birth: 1977

Education:

- Bachelor of Science – University of Southern California 2000

Business Experience:

- 2015 to Present: Portfolio Manager, Synergy Asset Management, LLC
- 2013-2014: Portfolio Manager, Neumann Capital Management, LLC
- 2005-2013: Portfolio Manager, U.S. Trust
- 2000-2005: Portfolio Analyst, Mellon Private Wealth Management

Industry Designations:

- Chartered Financial Analyst (CFA®) 2007

## **Item 3 Disciplinary Information**

Synergy Asset Management, LLC is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client's evaluation of Alex. No events have occurred that are applicable to this item.

## **Item 4 Other Business Activities**

Synergy Asset Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients. Alex is not actively engaged in any such activities.

## **Item 5 Additional Compensation**

Alex does not receive any additional compensation related to sales, client referrals, or new accounts.

## **Item 6 Supervision**

Todd Jessup, Chief Compliance Officer, is responsible for all supervision and monitoring of investment advice and insurance recommendations Alex gives to clients. He can be reached at (206) 386-5455. While the underlying securities within accounts are continually monitored, Todd reviews these accounts at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives and guidelines.

### **\*Chartered Financial Analyst (CFA®)**

CFA® designates an international professional certificate that is offered by the CFA Institute. The Chartered Financial Analyst® (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute — the largest global association of investment professionals.

There are currently more than 170,000 CFA® Charterholders working in over 170 countries and regions. To earn the CFA® charter, candidates must: (1) pass three sequential, six-hour examinations; (2) have at least four years of qualified professional investment experience; (3) join CFA Institute as members; and (4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

## **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA® Charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

## **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA® charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA® Charterholders —often making the charter a prerequisite for employment. Additionally, regulatory bodies in 38 countries/territories recognize the CFA® charter as a proxy for meeting certain licensing requirements, and more than 466 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

## **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.