

NOTICE OF PRIVACY PRACTICES

July, 2019

Synergy Financial Services Corporation, Inc., Synergy Financial Management, LLC,
Synergy Mergers + Acquisitions, LLC, and Associated Companies

The Securities and Exchange Commission's rule, Regulation S-P, implements the privacy requirements of the financial modernization legislation entitled the Gramm-Leach-Bliley Act, which was signed into law on November 12, 1999. We would like this opportunity to share our privacy practices with you as a result of this rule.

- As part of our investment management business, we obtain certain "nonpublic personal financial information." This information includes information we receive about you in connection with providing or obtaining a financial product or service (e.g., information provided to open an advisory relationship) and information about your transactions with others or us (e.g., information about account balances, securities positions, or financial products purchased).
- As part of our insurance business, we obtain certain "nonpublic personal financial information" about you. This information includes information we receive from you on applications or other forms, information about your transactions with us, our affiliates or others, and information we may receive from a consumer-reporting agency. We may also share other types of information with our affiliates, including insurance companies and insurance service providers.
- We restrict access to this information to authorized individuals who need to know this information to provide service for you.
- We maintain physical, electronic, and procedural safeguards that protect your information.
- We shred any document that we are disposing of if it contains your "nonpublic personal information".
- We do not disclose this information about you or any former customers to anyone.
- Employees do not share this information outside the firm.

You do not need to call nor do anything as a result of this notice. It is meant to inform you of how we safeguard your nonpublic personal financial information. You may wish to file this notice with your investment papers.

We value our relationship with you and strive to earn your continued trust.