



ITEM 1: COVER PAGE

PART 2B OF FORM ADV: BROCHURE SUPPLEMENT

Alvin L Wolcott II

**Synergy Financial Management, LLC
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2020

This brochure supplement provides information about Alvin Wolcott that supplements our ADV Part 2A brochure. You should have received a copy of that brochure. Please contact us via phone (206) 386-5455 or email info@sfmadvisors.com if you did not receive Synergy's brochure or if you have any questions about the content of this supplement.

Additional information about Alvin is available on the SEC's website at <http://www.adviserinfo.sec.gov>.

ITEM 2 EDUCATIONAL BACKGROUND AND EXPERIENCE

Alvin L Wolcott II

Year of Birth: 1972

Education:

- Bachelor of Arts – Walla Walla University 1996
- Master of Professional Accounting (Taxation) – University of Washington 2004

Business Experience:

- 2020 to Present: Tax and Financial Planning Manager, Synergy Financial Management, LLC
- 2020 to Present: Apex Tax & Financial Solutions, LLC
- 2014-2019: Senior Wealth Planner, Pacific Portfolio Consulting, LLC
- 2009-2014: CPA, Investment Advisor, Moser Wealth Advisors
- 2004-2009: CPA, Bertson Porter & Company

Industry License & Designations:

- Certified Public Accountant (CPA) 2006
- Certified Financial Planner (CFP®) 2015

Item 3 Disciplinary Information

Synergy Financial Management, LLC is required to disclose all material facts regarding any legal or disciplinary events that would materially impact a client's evaluation of Alvin. No events have occurred that are applicable to this item.

Item 4 Other Business Activities

Synergy Financial Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients.

Alvin is a 100% owner of Apex Tax & Financial Solutions, LLC which may charge separate fees for preparing taxes to clients of Synergy Financial Management LLC.

Item 5 Additional Compensation

Synergy Financial Management, LLC is required to disclose any outside business activities or occupation for compensation that could potentially create a conflict of interest with clients.

In addition to compensation paid through Synergy Financial Management, LLC, Alvin also may receive fees related to the preparation of tax returns through his business Apex Tax & Financial Solutions, LLC, this can create a conflict whereby a client may purchase tax preparation services. Recommendations made by Alvin in this capacity is not required.

Item 6 Supervision

Ron Thompson, Chief Compliance Officer, is responsible for all supervision and monitoring of investment advice and insurance recommendations Alvin gives to clients. He can be reached at (206) 386-5455. While the underlying securities within accounts are continually monitored, Ron reviews these accounts at least quarterly. Accounts are reviewed in the context of each client's stated investment objectives and guidelines.

***Certified Public Accountant (CPA)**

Certified Public Accountant (CPA) is the title of qualified accountants in numerous countries in the English-speaking world. In the United States, the CPA is a license to provide accounting services to the public. The Uniform Certified Public Accountant Examination (CPA Exam) is developed, maintained and scored by the American Institute of Certified Public Accountants (AICPA) in partnership with the National Association of State Boards of Accountancy (NASBA).

In order to sit for the CPA Exam in the State of Washington sufficient accounting degrees and education requirements including Master's level courses are required. After passing the CPA Exam, there is an additional required Code of Professional Conduct course and 12 months experience required in qualified Board of Accountancy responsibilities to receive the license. Additionally, there is on-going professional education requirements of 120 hours every three years.

Certified Financial Planner™ (CFP®)

The Certified Financial Planner™ and CFP® marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must complete an advanced college-level course in financial planning, pass the comprehensive two-day examination, and complete at least three years of full-time financial planning-related experience. Additionally, successful candidates agree to be bound by the CFP Board's Standards of Professional conduct and complete 30 hours of continuing education every two years.