



David M. Stryzewski

SPG Advisors LLC
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Disclosure Brochure Supplement
Part 2B, Form ADV

March 30, 2022

This brochure supplement provides information about David Stryzewski and supplements the SPG Advisors LLC's brochure. You should have received a copy of that brochure. Please contact David Stryzewski if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about David Stryzewski (CRD #6186251) is available on the SEC's website at www.adviserinfo.sec.gov.

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

David M. Stryzewski

Year of birth: 1983

Item 2 Educational Background and Business Experience

Educational Background:

- Cascadia College; A.A. in Business and Environmental Science; 2006

Business Experience:

- Sound Tax Planning LLC; Owner; 03/2018 – Present
- SPG Advisors LLC; Investment Advisor Representative; 06/2016 - Present
- Sound Planning Group; President/Insurance Agent; 10/2010 – Present
- SPG Advisors LLC; CCO/Managing Member; 06/2016 – 01/2019
- Synergy Financial Management; Investment Advisor Representative; 08/2015 – 07/2016
- Redhawk Wealth Advisors, Inc.; Investment Advisor Representative; 06/2014 – 11/2015
- Ucentris Insured Solutions; Agent; 01/2007 – 10/2010

Professional Designations:

- Certified Senior Advisors (CSA®) certification
 - CSA® is a designation from the Society of Certified Senior Advisors.
 - CSA® certification requirements:
 - Disclose any felonies, convictions, investigations, and/or sanctions
 - Pass a criminal background check
 - Pass the CSA certification examination with a score of 70% or more
 - Agree to abide by and uphold the CSA Code of Professional Responsibility
 - Agree to comply with the policies and rules of earning and maintaining certification
 - Maintain a level of competency by earning 3 hours of ethics during each 3-year recertification cycle
 - National Social Security Advisor (NSSA®) certification
 - Certification is awarded by National Social Security Association, LLC
 - NSSA® certification requirements:
 - Complete an eight hour educational course from an authorized educational provider encompassing social security benefits and options.
 - Pass an exam administered by The National Underwriter Company. Minimum test score 75%.
 - Renew certification bi-annually. Sixteen hours of continuing education is required for renewal.
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Item 3 Disciplinary Information

Criminal or Civil Action: None to report.

Administrative Proceeding: In November of 2018, without admitting or denying the allegations, Mr. Stryzewski entered into a Consent Order with The State of Washington Department of Financial Institutions Securities Division. The Consent Order requires Mr. Stryzewski to cease and desist from violating RCW 21.20.0110, the anti-fraud section of the Securities Act of Washington and



RCW 21.20.040 requiring registration of securities salespersons and broker-dealers. Mr. Stryzewski was also ordered to pay a fine of \$10,000 and investigative costs of \$7,000 prior to entering into the order.

Self-Regulatory Proceeding: None to report.

Item 4 Other Business Activities

Mr. Stryzewski is the owner of Sound Tax Planning LLC; a tax planning firm which contracts third party CPAs and attorneys to assist in the formulation of various tax and legal documents.

Approximately 10% of his time is spent in this capacity.

Mr. Stryzewski is an insurance agent with Sound Planning Group and spends approximately 40% of his time in this capacity.

These practices may present a conflict of interest because it gives an incentive to recommend products and services based on the commission or fees received. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the Client first and the Clients are not required to purchase any products or services.

Clients have the option to purchase these products or services through the insurance agent or agency of their choosing, including those unaffiliated SPG Advisors, LLC and Sound Planning Group.

Item 5 Additional Compensation

Mr. Stryzewski receives additional compensation in his capacity as an insurance agent and National Mentor, but he does not receive any performance based fees. He does not receive any additional compensation for performing advisory services other than what is disclosed in Item 5 of Part 2A.

Item 6 Supervision

Mr. Stryzewski is supervised by Justin Lohman, Chief Compliance Officer. Mr. Lohman reviews Mr. Stryzewski's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Justin Lohman can be reached by telephone at 425-821-9442 or by email at justin@spgadvisors.com.
